



# APPLICATION

For Coverage Under The Clean Water Act

## Section 401 Certification

**COMPLETE AND SIGN THE FOLLOWING FORM AND SUBMIT IT TO:**  
Arizona Department Of Environmental Quality, Surface Water Section / 401 Certification  
1110 West Washington, 5415A-1; Phoenix, Arizona 85007

For ADEQ Use Only

Date Rec'd: \_\_\_\_\_

LTF No. \_\_\_\_\_ ADEQ WQDB No. \_\_\_\_\_ COE No. \_\_\_\_\_

### SECTION 404 PERMIT TYPE

(Check one)

\_\_\_\_\_ NATIONWIDE PERMIT or Regional General Permit (No. \_\_\_\_\_)  
\_\_\_\_\_ INDIVIDUAL PERMIT

► Project Name: \_\_\_\_\_

► U.S. Army Corps of Engineers Project Number (if available): \_\_\_\_\_

► Location of Project (include nearest City/Town, County, Township, Range, Section, Qtr (if applicable):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

► Approximate Center of Project:

Latitude: |\_|\_|° |\_|\_|' |\_|\_|. |\_|\_|"  
(Degrees, minutes, seconds)

Longitude: |\_|\_|° |\_|\_|' |\_|\_|. |\_|\_|"  
(Degrees, minutes, seconds)

► If available: UTM (meters) Northing \_\_\_\_\_ Easting \_\_\_\_\_ Zone \_\_\_\_\_

► Directions to project location (from nearest intersection of major streets/highways). Include map(s) or drawings displaying location in the state and relative to nearby cities, highways, waterbodies, etc.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

► Waterbody(ies) (if known, otherwise "unnamed" or "unnamed tributary to"). Include flow regime (ephemeral, intermittent or perennial), name of downstream waterbody(ies) and name of basin (from Water Quality Standards for Surface Waters):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**APPLICANT INFORMATION** (Complete all that apply. Use "N/A" for not applicable)

▶ Applicant Name: \_\_\_\_\_ Title: \_\_\_\_\_

▶ Applicant's Company, Agency, etc: \_\_\_\_\_

▶ Phone Number: \_\_\_\_\_

▶ Applicant's Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: |\_\_| |\_\_| Zip Code: \_\_\_\_\_

▶ Property Owner Name (If Different From Applicant): \_\_\_\_\_ Title: \_\_\_\_\_

▶ Property Owner Company, Agency, etc: \_\_\_\_\_

▶ Phone Number: \_\_\_\_\_

▶ Property Owner's Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: |\_\_| |\_\_| Zip Code: \_\_\_\_\_

▶ Agent Name: \_\_\_\_\_ Title: \_\_\_\_\_

▶ Agent Company, Agency, etc: \_\_\_\_\_

▶ Phone Number: \_\_\_\_\_

▶ Agent Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: |\_\_| |\_\_| Zip Code: \_\_\_\_\_

**CERTIFICATIONS**

- ▶ I hereby authorize (agent) \_\_\_\_\_ to act in my behalf in the processing of this application and to furnish any supplemental information in support of this application. I understand that I am bound by the actions of my agent.

Applicant's Printed Name: \_\_\_\_\_ Date: \_\_\_\_\_

Applicant's Signature: \_\_\_\_\_

- ▶ I have reviewed the following items (available at [www.azdeq.gov](http://www.azdeq.gov)):

1. Policy for Protecting Water Quality During Facility Construction, adopted December 21, 1994.
2. Arizona Water Quality Standards for Surface Waters (18 A.A.C. 11, Article 1)
3. Executive Order No. 91-6, Protection of Riparian Areas, Dated February 14, 1991.

- ▶ Application is hereby made for Arizona certification under CWA section 401 for the above-named project. I certify that I am familiar with the information contained or referenced in this application and that the information is true, accurate and complete.

Applicant's Printed Name: \_\_\_\_\_ Date: \_\_\_\_\_

Applicant's Signature: \_\_\_\_\_

Property Owner's Printed Name (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Property Owner's Signature: \_\_\_\_\_

Agent's Printed Name (if applicable): \_\_\_\_\_ Date: \_\_\_\_\_

Agent's Signature: \_\_\_\_\_

**A. PROJECT DESCRIPTION** (Complete all items. Attach additional pages as necessary.)

Project Name: \_\_\_\_\_

Describe purpose and work to be performed, including fill material and revegetation / reclamation plan (attach design drawings for project):

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**B. AREA OF DISTURBANCE** (Label units; e.g., acres, square feet, square miles, etc.)

1. Provide the total jurisdictional area within the project boundaries \_\_\_\_\_ .
2. Provide the impacted jurisdictional area \_\_\_\_\_.
3. List the reason(s) for the impacts (e.g., pad fill, road crossing, etc.):

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**C. ADDITIONAL INFORMATION** (Include the following items per A.R.S. § 49-202(g):

1. U.S.G.S. 7.5 min. Topographic map or other contour map of project area.
2. Map delineating the ordinary high water mark of jurisdictional waters affected by activity to be certified.
3. Copy of application for federal permit or license subject to the requested certification.
4. Describe the measures to be applied to the activities in order to control the discharge of pollutants into waters of the U.S. (WUS). Describe the procedures, practices and/or facilities that will: (a) minimize potential pollution of surface waters, and (b) demonstrate compliance with state water quality standards (see 18 A.A.C. 11, article 1). Describe any erosion and sedimentation pollution control measures to be employed. These measures may be procedural or physical in nature, temporary or permanent; regardless, their purpose is to prevent the entry of sediment or other pollutant into any WUS and must be functional prior to beginning any construction activity other than the creation of the measures themselves. Use additional sheets if needed. Note that waters of the state include all watercourses and perennial, intermittent and ephemeral streams (see A.R.S. § 49-201(40)). If the response below refers to another document; e.g., stormwater pollution prevention plan, the applicable portions of the referenced document must be included with this application. These responses may be incorporated into the 401 certification as conditions.

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The party responsible for the activity may be required (as a condition of the 401 certification) to monitor for turbidity (as an indicator), suspended sediment concentration (SSC) and other parameters every day or at an interval to be specified in conditions of the 401 Certification. Monitoring must be performed not greater than 100 feet (30 m) upstream or downstream from the construction or related operations unless otherwise specified in the 401 certification. Any monitored parameters shall be reported to the Arizona Department Of Environmental Quality, Surface Water Section.

July 2007